

CONTRACT AMENDMENT REQUEST FORM (CARF)

CEC-276 (Revised 10/2015)

CALIFORNIA ENERGY COMMISSION



Original Agreement #	800-13-001	Amendment #	1
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Division	Agreement Manager:	MS-	Phone
Choose Division	Doug Kemmer	22	916-651-0481

Contractor's Legal Name	Federal ID Number
Aspen Environmental Group	95-4337914

Revisions: (check all that apply)		
<input type="checkbox"/> Term Extension	New End Date: / /	Include revised schedule and complete items A, B, C, D, & H below.
<input checked="" type="checkbox"/> Budget Augmentation	Amendment Amount: \$ 175,000	Include revised budget and complete items A, B, C, D, E, F, & H below.
<input checked="" type="checkbox"/> Budget Reallocation		Include revised budget and complete items A, B, C, D, & H below.
<input type="checkbox"/> Scope of Work Revision		Include revised scope of work and complete items A, B, C, D, & H below.
<input type="checkbox"/> Change in Project Location or Demonstration Site		Include revised scope of work and complete items A, B, C, D, G, & H below.
<input type="checkbox"/> DVBE Replacement		Include revised scope of work and complete items A, B, C, D, F, & H below.
<input type="checkbox"/> Novation/Name Change of Prime Contractor/Recipient		Include novation documentation and complete items A, C, D, & H below.
<input type="checkbox"/> Terms and Conditions Modification		Include applicable exhibits with bold/underline/strikeout and complete items A, B, C, D, & H below.

A) Business Meeting Information**Business Meeting approval is not required for the following types of Agreements:**

- Operational agreement (see CAM Manual for list) to be approved by Executive Director
- Minor amendments delegated to Executive Director per December 2013 Resolution

Proposed Business Meeting Date	06 / 14 / 16	<input type="checkbox"/> Consent	<input checked="" type="checkbox"/> Discussion
Business Meeting Presenter	Sylvia Bender	Time Needed:	5 minutes

Please select one list serve. EnergyPolicy (Integrated Energy Policy Report)

Agenda Item Subject and Description

Proposed resolution approving Amendment 1 to Contract Agreement 800-13-001 with Aspen Environmental Group to augment the contract by \$175,000 and add additional subcontractors for transportation demand forecasting and distributed energy locational analysis. The unanticipated circumstances of the Aliso Canyon natural gas leak resulted in unexpected requests by the Governor's Office and Energy Commission for natural gas analytical support that exceeds the original amount allocated to work authorizations for Natural Gas Assessment and Forecasting. (ERPA funding) Contact: Sylvia Bender. (Staff presentation: 5 minutes)

B) Amendment Justification (For contract amendments only)

- Non Competitive Bid (Attach CEC 96)
- Exempt Amendment to add \$250K max or 30%

C) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)

Legal Company Name:	Budget	SB	MB	DVBE
See Attachment 1 for subcontractor list.	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

D) List all key partners: (attach additional sheets as necessary)

Legal Company Name:

Not applicable

E) Budget Information (only include amendment amount information)

Funding Source	Funding Year of Appropriation	Budget List No.	Amount
State - ERPA	2015	100.151	\$175,000
Funding Source			\$
Funding Source			\$
Funding Source			\$
R&D Program Area:	N/A	TOTAL:	\$175,000
Explanation for "Other" selection			

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Reimbursement Contract #:	Federal Agreement #:
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F) Disabled Veteran Business Enterprise Program (DVBE)	
1.	<input type="checkbox"/> Exempt (Interagency/Other Government Entity)
2.	<input checked="" type="checkbox"/> Meets DVBE Requirements DVBE Amount:\$ <u>300,000</u> DVBE %: <u>10%</u>
	<input type="checkbox"/> Contractor is Certified DVBE
	<input checked="" type="checkbox"/> Contractor is Subcontracting with a DVBE: <u>Granite Financial Solutions, Inc.</u>
3.	<input type="checkbox"/> Contractor selected through CMAS or MSA with no DVBE participation.
4.	<input type="checkbox"/> Requesting DVBE Exemption (attach CEC 95)

G) California Environmental Quality Act (CEQA) Compliance	
1.	Is Agreement considered a "Project" under CEQA? <input type="checkbox"/> Yes (skip to question 2) <input checked="" type="checkbox"/> No (complete the following (PRC 21065 and 14 CCR 15378)): Explain why Agreement is not considered a "Project": Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because the underlying contract is not building any type of physical structure, nor is it issuing any permits. The work in this contract is providing technical expertise to the Electricity Supply Analysis Division, which involves forecasting and demand analysis.
2.	If Agreement is considered a "Project" under CEQA: <input type="checkbox"/> a) Agreement IS exempt. (Attach draft NOE) <input type="checkbox"/> Statutory Exemption. List PRC and/or CCR section number: _____ <input type="checkbox"/> Categorical Exemption. List CCR section number: _____ <input type="checkbox"/> Common Sense Exemption. 14 CCR 15061 (b) (3) Explain reason why Agreement is exempt under the above section: <input type="checkbox"/> b) Agreement IS NOT exempt. (Consult with the legal office to determine next steps.) Check all that apply <input type="checkbox"/> Initial Study <input type="checkbox"/> Environmental Impact Report <input type="checkbox"/> Negative Declaration <input type="checkbox"/> Statement of Overriding Considerations <input type="checkbox"/> Mitigated Negative Declaration

H) The following items should be attached to this ARF (as applicable)		
1. Exhibit A, Scope of Work	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
2. Exhibit B, Budget Detail	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached
3. CEC 96, NCB Request	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
4. CEC 95, DVBE Exemption Request	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
5. CEQA Documentation	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
6. Novation Documentation	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
7. CEC 105, Questionnaire for Identifying Conflicts		<input checked="" type="checkbox"/> Attached

Agreement Manager	Date	Office Manager	Date	Deputy Director	Date
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Attachment 1

H) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)				
Legal Company Name:	Budget	SB	MB	DVBE
EnerNex LLC	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Flynn Resource Consultants Inc.	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GEI Consultants, Inc.	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Granite Financial Solutions Inc.	\$	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Itron	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lumina Decision Systems, Inc.	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MRW Associates	\$	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Navigant	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
New Power Technologies	\$	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
RAND Corporation	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sustainable Energy Futures	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SymSoft Solutions	\$	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Utility System Efficiencies, Inc.	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Xanthus Consulting International	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Better Climate Research and Policy Analysis (Jim McMahon)	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Energy Exemplar	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Energy Modeling Forum (Hill Huntington)	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Jensen Associates	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lawrence Berkeley National Laboratory	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mobius Risk Group	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Power Innovation Consultants, Inc.	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Simon Fraser University (Mark Jaccard)	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
M. Cubed (Steve Moss)	\$	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Alan Sanstad	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
David Brownstone	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
David Bunch	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Max Auffhammer	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: ASPEN ENVIRONMENTAL GROUP

RESOLVED, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the Energy Commission approves Amendment 1 to Agreement 800-13-001 with Aspen Environmental Group to augment the contract by \$175,000 and add additional subcontractors for transportation demand forecasting and distributed energy locational analysis. The unanticipated circumstances of the Aliso Canyon natural gas leak resulted in the unexpected need for natural gas analytical support that exceeds the original amount allocated to work authorizations for Natural Gas Assessment and Forecasting; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on June 14, 2016.

AYE: [List of Commissioners]

NAY: [List of Commissioners]

ABSENT: [List of Commissioners]

ABSTAIN: [List of Commissioners]

Cody Goldthrite,
Secretariat