



CERTIFICATE OF COMPLIANCE	NRCC-PRC-01-E
Process Compliance Forms & Checklists	(Page 1 of 3)
Project Name:	Date Prepared:

A. PROCESS COMPLIANCE FORMS & WORKSHEETS

(check box if worksheet is included)

For detailed instructions on the use of this and all Energy Efficiency Standards compliance forms, refer to the [2008-2016 Nonresidential Manual](#).

Note: The Enforcement Agency may require all forms to be incorporated onto the building plans.

YES	NO	Form/Worksheet #	Title
<input type="checkbox"/>	<input type="checkbox"/>	PRC-01-E (1 of 2)	Covered Process Certificate of Compliance. Required on plans for all submittals with covered processes.
<input type="checkbox"/>	<input type="checkbox"/>	PRC-01-E (2 of 2)	Certificate of Compliance, Required Acceptance Tests (PRC-02-A to PRC-08-A). Required on plans for all submittals.
<input type="checkbox"/>	<input type="checkbox"/>	PRC-02-E	Compliance Form for Enclosed Parking Garage Exhaust Fans
<input type="checkbox"/>	<input type="checkbox"/>	PRC-03-E	Compliance Form for Commercial Kitchens
<input type="checkbox"/>	<input type="checkbox"/>	PRC-04-E	Compliance Form for Computer Rooms
<input type="checkbox"/>	<input type="checkbox"/>	PRC-05-E	Compliance Form for Commercial Refrigeration
<input type="checkbox"/>	<input type="checkbox"/>	PRC-06-E	Compliance Form for ALL Refrigerated Warehouses
<input type="checkbox"/>	<input type="checkbox"/>	PRC-07-E	Compliance Form for Refrigerated Warehouse $\geq 3,000 \text{ ft}^2$
<input type="checkbox"/>	<input type="checkbox"/>	PRC-08-E	Compliance Form for Refrigerated Warehouse Where Multiple Spaces that (i) comprise a total of $3,000 \text{ ft}^2$ or more; and (ii) are collectively served by the same refrigeration system compressor(s) and condenser(s) (central systems).
<input type="checkbox"/>	<input type="checkbox"/>	PRC-09-E	Compliance Form for Laboratory Exhaust
<input type="checkbox"/>	<input type="checkbox"/>	PRC-10-E	Compliance Form for Compressed Air Systems
<input type="checkbox"/>	<input type="checkbox"/>	PRC-11-E	Compliance Form for Process Boilers
<input type="checkbox"/>	<input type="checkbox"/>	PRC-12-E	Compliance Form for Elevator Lighting and Ventilation Controls
<input type="checkbox"/>	<input type="checkbox"/>	PRC-13-E	Compliance Form for Escalators and Moving Walkways Speed Controls



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DOCUMENTATION AUTHOR'S DECLARATION STATEMENT	
1. I certify that this Certificate of Compliance documentation is accurate and complete.	
Documentation Author Name:	Documentation Author Signature:
Company:	Signature Date:
Address:	CEA/ HERS Certification Identification (if applicable):
City/State/Zip:	Phone:
RESPONSIBLE PERSON'S DECLARATION STATEMENT	
I certify the following under penalty of perjury, under the laws of the State of California:	
<ol style="list-style-type: none"> 1. The information provided on this Certificate of Compliance is true and correct. 2. I am eligible under Division 3 of the Business and Professions Code to accept responsibility for the building design or system design identified on this Certificate of Compliance (responsible designer). 3. The energy features and performance specifications, materials, components, and manufactured devices for the building design or system design identified on this Certificate of Compliance conform to the requirements of Title 24, Part 1 and Part 6 of the California Code of Regulations. 4. The building design features or system design features identified on this Certificate of Compliance are consistent with the information provided on other applicable compliance documents, worksheets, calculations, plans and specifications submitted to the enforcement agency for approval with this building permit application. 5. I will ensure that a completed signed copy of this Certificate of Compliance shall be made available with the building permit(s) issued for the building, and made available to the enforcement agency for all applicable inspections. I understand that a completed signed copy of this Certificate of Compliance is required to be included with the documentation the builder provides to the building owner at occupancy. 	
Responsible Designer Name:	Responsible Designer Signature:
Company :	Date Signed:
Address:	License:
City/State/Zip:	Phone:

NRCC-PRC-01-E User Instructions

NRCC-PRC-01-E is the primary process. The purpose of the form is to provide compliance information in a form useful to the enforcement agency's field inspectors.

This form should be included on the plans, usually near the front of the drawings. A copy of these forms should also be submitted to the enforcement agency along with the rest of the compliance submittal at the time of building permit application. With enforcement agency approval, the applicant may use alternative formats of these forms (rather than the Energy Commission's forms), provided the information is the same and in similar format.

Project Description

PROJECT NAME is the title of the project, as shown on the plans and known to the enforcement agency.

DATE is the last revision date of the plans. If the plans are revised after this date, it may be necessary to re-submit the compliance documentation to reflect the altered design. Note that it is the enforcement agency's discretion whether or not to require new compliance documentation.

Section A. Process Compliance Documents & Worksheets

The checkboxes list all applicable compliance forms or worksheets included with the compliance documentation submitted to the enforcement agency.

Sections B Process Acceptance Documents

The Designer is required to list all system and identify the applicable acceptance testing required. The Designer should think about who will be conducting the tests and list this person in the section titled "Test Performed By" if applicable. Those who are allowed to conduct the tests are the installing contractor, design professional or an agent selected by the owner. Note that a single system may require multiple acceptance tests, depending on the type of system.

Documentation Author's Declaration Statement

The CERTIFICATE OF COMPLIANCE is signed by both the Documentation Author and the Principal Designer who is responsible for preparation of the plans of building. This latter person is also responsible for the energy compliance documentation, even if the actual work is delegated to a different person acting as Documentation Author. It is necessary that the compliance documentation be consistent with the plans.

DOCUMENTATION AUTHOR is the person who prepared the energy compliance documentation and who signs the Declaration Statement. The person's telephone number is given to facilitate response to any questions that arise. A Documentation Author may have additional certifications such as a Certified Energy Analyst or a Home Energy Rating System certification number. Enter number in the CEA# or HERS# box.

Declaration Statement of Principle Designer

The Declaration Statement is signed by the person responsible for preparation of the plans for the building and the documentation author. This principal designer is also responsible for the energy compliance documentation, even if the actual work is delegated to someone else (the Documentation Author as described above). It is necessary that the compliance documentation be consistent with the plans. The Business and Professions Code governs who is qualified to prepare plans and therefore to sign this statement. See Section 2.2.2 Permit Application for applicable text from the Business and Professions Code.